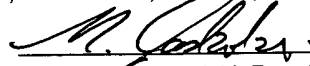


PATENT

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date of Signature  
and Deposit: 9-25-03

  
Michael A. Jaskolski, Reg. No. 37,551

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Dragoslav Popovic

Client Docket No. \_\_\_\_\_

Serial No.:

Filing Date: Herewith  
Title: Modular LED Light and Method

Art Unit:

Examiner:

Atty Docket: 870230.00003

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 CFR 1.97

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Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above-identified application in compliance with  
37 CFR 1.97 and 1.98:

- ☒ A list of documents on form PTO-1449 together with copies of each identified document;
- ☐ A translation or a concise explanation of each non English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 37 CFR 1.97(b) [within 3 months of filing or prior to 1<sup>st</sup> Office Action]
- ☐ 37 CFR 1.97(c) [before Final Office Action or Notice of Allowance]; and
- ☐ The required certification made under the heading "Certification" below;  
or

☐ The \$\_\_\_\_\_ fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized under the heading "Authorization to Charge Fees" below.

☐ 37 CFR §1.97(d) [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee \$\_\_\_\_\_ required by 37 CFR 1.17(i)(1) is authorized under the heading "Authorization to Charge Fees." (Direct this letter to "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch \_\_\_\_\_, Date of Allowance \_\_\_\_\_"), and

b) The required Certification is stated under the heading "Certification" below.

☐ Certification

☐ Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ No item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ Authorization to Charge Fees

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account 17-0055.

Respectfully submitted,  
DRAGON POPOVIK

By: \_\_\_\_\_

Michael A. Jaskolski  
Attorney for Applicant  
Reg No.: 37,551  
Quarles & Brady LLP  
411 East Wisconsin Avenue  
Milwaukee, WI 53202

Substitute for form 1449/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

## **Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Dragoslav Popovic
Art Unit	
Examiner Name	
Attorney Docket Number	870230.00003

Sheet 1 of 2

### **U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	1	US- 1,676,463	07-10-1928	Ryan	
	2	US- 1,877,785	09-20-1932	Andrews	
	3	US- 2,352,801	07-04-1944	Rolph	
	4	US- 3,731,082	05-01-1973	Blaylock	
	5	US- 3,739,169	07-12-1973	Weinreich	
	6	US- 3,852,584	12-03-1974	Levin	
	7	US- 4,264,948	04-28-1981	Cherouge	
	8	US- 4,591,960	05-27-1986	Jones	
	9	US- 4,755,921	07-05-1988	Nelson	
	10	US- 5,105,347	04-14-1992	Ruud et al	
	11	US- 5,335,157	08-02-1994	Lyons	
	12	US- 5,594,433	01-14-1997	Terlep	
	13	US- 5,608,290	03-04-1997	Hutchisson et al	
	14	US- 5,785,410	07-28-1998	Branson, Sr.	
	15	US- 5,806,965	09-15-1998	Deese	
	16	US- 5,897,201	04-27-1999	Simon	
	17	US- 5,929,788	07-27-1999	Vukosic	
	18	US- 6,183,100 B1	02-06-2001	Suckow et al	
	19	US- 6,193,383 B1	02-27-2001	Onikiri et al	

### **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	1	WO 87/02542	23.04.1987	Beauley		
	2	EP 0 732 679 A1	18.09.1996	Hayashi, et al		

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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Approved for use through 10/31/2002.

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[illegible]

Examiner Signature		Date Considered	
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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